



CONSTRUCTION & DESIGN SERVICES

UTTAR PRADESH JAL NIGAM

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Letter No.

705 / GM N-8/G-08- 69 / 08

Date: 30 -07-2016

Selection of Consultancy Firm For Preparation of Detailed Project Reports (DPR) For Cluster Based Solid Waste Management For Hardoi, Unnao, Bijnor, and Ghaziabad Nagar Palika Parishad, Uttar Pradesh.

Replies/Clarifications to the Queries raised on RFP by Various firms in Pre-Bid Meeting(I&II) on dated 28.06.2016 & 14.07.2016

Regarding NIT 506/GM N-8/G-08-69/03 Dated 13-06-2016 and Pre-Bid Meetings (I&II) were held at Head Quarter of C&DS, UP Jal Nigam, Lucknow on dated 28.06.2016 & 14.07.2016 Some of the firms participating in meeting raised some queries in person as well as through correspondence (electronic or otherwise) regarding the criteria mentioned in RFP. The Bid clarifications and amendments in RFP document attached herewith.

Enclosure: As above

(R.N.Goel)

General Manager(N-8)

Endt.No.

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Dated-

Copy forwarded to the following for information & necessary action:-

- 1- P.S. to Director, C&DS, U.P.Jal Nigam, Lucknow.
- 2- Director, Local Bodies, U.P., Lucknow.
- 3- All Municipal Commissioner/Executive Officer, Nagar Nigam/Nagar Palika Parishad.
- 4- All CGM/All General Managers, C& DS, U.P.Jal Nigam
- 5- Project Manager, Unit- 16/29/31/53, C&DS, U.P.Jal Nigam, Bijnore, Lucknow, Ghaziabad & Hardoi.
- 6- S.A.O./P.M.(Hq), C&DS, U.P.Jal Nigam, Lucknow.
- 7- All Member of Tender Committee, C&DS, U.P.Jal Nigam, Lucknow
- 8- All consultancy firms empanelled with MouD, Govt. of India/Notice Board.

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30/7/16
General Manager(N-8)

**Selection of Consultancy Firm For Preparation of Detailed Project Reports (DPR) For
Cluster Based Solid Waste Management For Hardoi, Unnao, Bijnor, and Ghaziabad
Nagar Palika Parishad, Uttar Pradesh.**

Amendment-I (Request For Proposal)

S. No.	Reference	Clause No./Page No.	Existing Clause	Modified Clause																					
1	RFP	15/10	15. Detailed Marking Scheme For Technical Evaluation:																						
			<table border="1"> <thead> <tr> <th>S. No</th> <th>Criteria</th> <th>Maximum Marks</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Firms General Experience in Similar Assignments</td> <td>250</td> </tr> <tr> <td>A</td> <td>Experience of preparation of DPRs on solid waste management in past 10 years</td> <td>200</td> </tr> <tr> <td>B</td> <td>Relevant experience in preparing feasibility studies, master plans relating to solid waste management, regulatory frame work etc.</td> <td>50</td> </tr> </tbody> </table>	S. No	Criteria	Maximum Marks	1	Firms General Experience in Similar Assignments	250	A	Experience of preparation of DPRs on solid waste management in past 10 years	200	B	Relevant experience in preparing feasibility studies, master plans relating to solid waste management, regulatory frame work etc.	50	<table border="1"> <thead> <tr> <th>S. No</th> <th>Criteria</th> <th>Maximum Marks</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Firms General Experience in Similar Assignments</td> <td>250</td> </tr> <tr> <td>A</td> <td>Experience of preparation of DPRs on solid waste management in past 10 years</td> <td>250</td> </tr> <tr> <td>B</td> <td>Relevant experience in preparing feasibility studies, master plans relating to solid waste management.</td> <td></td> </tr> </tbody> </table>	S. No	Criteria	Maximum Marks	1	Firms General Experience in Similar Assignments	250	A	Experience of preparation of DPRs on solid waste management in past 10 years	250
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(Handwritten marks)

			17	Saina	44415	46183
			18	Gulaothi	50823	53472
			Total		1524201	1665864
3	RFP	16/11	<ul style="list-style-type: none"> The agency should have experience of having prepared at least 3 or more DPRs on solid waste management during last three years ending 31st March, 2016 covering a minimum population of 6 lakhs. 			
			16	Gulaothi	50823	53472
			Total		924178	975346
			<ul style="list-style-type: none"> The agency should have experience of having prepared at least 3 or more DPRs on solid waste management during last three years ending 31st March, 2016 covering a minimum population of 6 lakhs as per census 2011. 			

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30/7/16

(R. N. GOEL)
General Manager (N-8)
Construction & Design Services
U.P. Jal Nigam, Lucknow

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4 RFP

Section 4,
Financial
Proposal-
Standard
Forms/31

FORM FIN-1

Form FIN-1, Attached

FINANCIAL PROPOSAL SUBMISSION FORM

[Location Date]

To: [Name and address of Employer]

Dear Sirs:

We, the undersigned, offer to provide the consulting Assignment/job for [Insert title of Assignment/job] in accordance with your Request for Proposal dated [Insert Date] and our Technical Proposal. Our attached Financial Proposal is for the sum of [Insert amount(s) in words and figures]. This amount is inclusive all domestic taxes excluding Service Tax. We hereby confirm that the financial proposal is unconditional and we acknowledge that any condition attached to financial proposal shall result in reject of our financial proposal.

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the validity period of the Proposal, i.e. before the date indicated in Paragraph 4 of the Part II Data Sheet.

We understand you are not bound to accept any Proposal you receive
We remain

Yours sincerely, Authorized Signature
[In full and initials]
Name and Title of Signatory:
Name of Firm:
Address:

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30/7/16

(R. N. GOEL)
General Manager (N-8)
Construction & Design Services
U.P. Jai Nigam, Lucknow

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Form FIN-1: Financial Bid Submission Form

Place, Date

To: [Name and address of Employer]

Dear Sir,

We, the undersigned, offer to provide the consulting Assignment/job for “Preparation of Detailed Project Report (DPR) For Solid Waste Management for Cluster (Uttar Pradesh)”, in accordance with your Request for Proposal dated and our Technical Proposal. Our Financial Proposal is as below-.

Name of Town	Financial Proposal (Rs.)	
	in figures	in words
.....		
Total		

We hereby confirm that above financial bid is unconditional and we acknowledge that any condition attached to financial bid shall result in rejection of our financial proposal. We agree to abide by all the conditions mentioned in Bid notice number: issued by Construction & Design Services, U P Jal Nigam, Lucknow.

The Financial Proposal is inclusive of all taxes i.e. income tax, professional tax but excluding service tax which will be paid extra by C&DS as per the prevalent rules in force. In case of difference in amount quoted in figures and words, the lower value shall be considered for evaluation.

Our Financial Proposal shall be binding upon us subject to the modifications resulting from Contract negotiations, up to expiration of the validity period of the Proposal, i.e. before the date indicated in Paragraph 4 of the Part II Data Sheet.

We understand that you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely, Authorized Signature

[In full and initials]:

Name and Title of Signatory: Name of Firm:

Address:


30/7/11



**Selection of Consultancy Firm For Preparation of Detailed Project Reports (DPR) For
Cluster Based Solid Waste Management For Hardoi, Unnao, Bijnor, and Ghaziabad
Nagar Palika Parishad, Uttar Pradesh**

Sr.N o.	Reference / Volume Name	Page no./Clause No.	Existing Clause/Available Terms	Issues raised/Query	Clarifications/Rep lies by C&DS UP Jal Nigam
1	RFP	5/3.2	At any time before the submission of Proposals, the Employer may amend the RFP by issuing an addendum in writing or by standard electronic means. The addendum shall be sent to all Consultants and will be binding on them. Consultants shall acknowledge receipt of all amendments. To give Consultants reasonable time in which to take an amendment into account in their Proposals the Employer may, if the amendment is substantial, extend the deadline for the submission of Proposals.	Request you to extend the proposal due date to at least 10 days after the issue of response to pre-bid queries	Agreed. Date extended till 10.08.2016 with an additional pre bid meeting on 14.07.2016
2	RFP	5/4.2	Conflict of interest Conflicting Assignment/job ; (ii) A Consultant (including its Personnel and Sub-Consultants) or any of its affiliates shall not be hired for any Assignment/job that, by its nature,	Request clarification if the selected consultant would be allowed to undertake transaction advisory, if required	Allowed.

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			<p>may be in conflict with another Assignment/job of the Consultant to be executed for the same or for another Employer. For example, a Consultant hired to prepare engineering design for an infrastructure project shall not Be engaged to prepare an independent environmental assessment for the same project, and a Consultant assisting a Employer in the privatization of public assets shall not purchase, nor advise purchasers of, such assets.</p>		
3	RFP	39/Terms of reference	<p>Site for regional landfill have been tentatively identified, which is still to be acquired.</p>	<p>Clarity on ownership and land use is required for preparation of DPR</p>	<p>It will be provided in due course of time.</p>
4	RFP	---	<p>Procedure for award of project</p>	<p>Request clarity on modus operandi for selection of consultant for each of the four clusters. Would there be a specific sequence of bid opening or same consultant can be chosen for execution of multiple clusters?</p>	<p>As per RFP clause 6.1 consultant will allowed to bid for any one cluster only.</p>
5	RFP	64/2.9.2	<p>(a) If the "Employer" fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clause GC 8 hereof within forty-five (45) days after receiving written notice from the Consultant</p>	<p>(a) If the "Employer" fails to pay any money due to the Consultant pursuant to this Contract and not subject to dispute pursuant to Clause GC 8 hereof within forty-five (45) fifteen (15) calendar days after receiving written notice from the Consultant that such payment is overdue.</p>	<p>As per RFP.</p>

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			that such payment is overdue.		
6	RFP	66/3.5	The Consultant (i) shall take out and maintain, and shall cause any Sub-Consultants to take out and maintain insurance, at their (or the Sub-Consultants', as the case may be) own cost but on terms and conditions approved by the "Employer", insurance against the risks, and for the coverages specified in the SC, and (ii) at the "Employer's request, shall provide evidence to the "Employer" showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid.	It should be clarified to the Employer that, we maintain professional indemnity insurance only. Such professional indemnity insurance cover for our professional business up to an appropriate level sufficient for the purposes of this engagement, and similar to that of the other large accountancy firms. Hence, this clause should be deleted.	As per RFP
7	RFP	66/3.6	Accounting, Inspection and Auditing: The Consultant (i) shall keep accurate and systematic accounts and records in respect of the Services hereunder, in accordance with internationally accepted accounting principles and in such form and detail as will clearly identify all relevant time changes and costs, and the bases thereof, and (ii) shall periodically permit the "Employer" or its designated representative and/or the	Accounting, Inspection and Auditing: The Consultant (i) shall keep accurate and systematic accounts and records in respect of the Services hereunder, in accordance with internationally accepted accounting principles and in such form and detail as will clearly identify all relevant time changes and costs, and the bases thereof, and (ii) shall periodically permit the "Employer" or its designated representative and/or the termination of this Contract, to inspect the same and make copies thereof as well	As per RFP

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			<p>Employer, and up to five years from expiration or termination of this Contract, to inspect the same and make copies thereof as well as to have them audited by auditors appointed by the "Employer" or the Employer, if so required by the "Employer" or the Employer as the case may be.</p>	<p>as to have them audited by auditors appointed by the "Employer" or the Employer, if so required by the "Employer" or the Employer as the case may be. The audit conducted shall be restricted to the physical files of this Contract only and shall be subject to Employer agreeing to maintain confidentiality of these documents. If any third parties are employed by the Employer to conduct audit, Employer shall ensure that such third parties are not be a competitor of the Consultant and shall agree to confidential obligations with the Consultant. Further the right to audit shall be available to the Employer during the period of this Contract alone.</p>	As per RFP
8	RFP	67/3.9	<p>All plans, drawings, specifications, designs, reports, other documents, and software prepared by the Consultant for the "Employer" under this Contract shall become and remain the property of the "Employer", and the Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to the "Employer", together with a detailed inventory thereof. The Consultant may retain a copy of such documents, but shall not use anywhere, without taking permission, in writing, from the</p>	<p>All plans, drawings, specifications, designs, reports, other documents and software prepared by the Consultant for the "Employer" under this Contract shall become and remain the property of the "Employer", and the Consultant shall, not later than upon termination or expiration of this Contract, deliver all such documents to the "Employer", together with a detailed inventory thereof. The Consultant may retain a copy of such documents, but shall not use anywhere, without taking permission, in writing, from the Employer and the Employer reserves right to grant or deny any such request. If license agreements are necessary or</p>	As per RFP

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		<p>Employer and the Employer reserves right to grant or deny any such request.. If license agreements are necessary or appropriate between the Consultant and third parties for purposes of development of any such computer programs, the Consultant shall obtain the "Employer"'s prior written approval to such agreements, and the "Employer" shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned.</p>	<p>appropriate between the Consultant and third parties for purposes of development of any such computer programs; the Consultant shall obtain the "Employer"'s prior written approval to such agreements, and the "Employer" shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned.</p>		
9	RFP	70/6.3	<p>The final payment as specified in SC 13 shall be made only after the final report and a final statement, identified as such, shall have been submitted by the Consultant and approved as satisfactory by the competent Authority. The Services shall be deemed completed and finally accepted by the "Employer" and the final report and final statement shall be deemed approved by the competent Authority as satisfactory ninety (90) calendar days after receipt of the final report and final statement by the "Employer", within unless the "Employer", within</p>	<p>The final payment as specified in SC 13 shall be made only after the final report and a final statement, identified as such, shall have been submitted by the Consultant and approved as satisfactory by the competent Authority. The Services shall be deemed completed and finally accepted by the "Employer" and the final report and final statement shall be deemed approved by the competent Authority as satisfactory thirty (30) <u>thirty (30)</u> calendar days after receipt of the final report and final statement by the "Employer" unless the "Employer", within such ninety (90) <u>thirty (30)</u> day period, gives written notice to the Consultant specifying in detail</p>	As per RFP

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		New Clause	<p>such ninety (90) day period, gives written notice to the Consultant specifying in detail deficiencies in the Services, the final report or final statement. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated. Any amount, which the "Employer" has paid or caused to be paid in accordance with this Clause in excess of the amounts actually payable in accordance with the provisions of this Contract, shall be reimbursed by the Consultant to the "Employer" within thirty (30) days after receipt by the Consultant of notice thereof. Any such claim by the "Employer" for reimbursement must be made within twelve (12) calendar months after receipt by the "Employer" of a final report and a final statement approved by the "Employer" in accordance with the above.</p>	<p>deficiencies in the Services, the final report or final statement. The Consultant shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated. Any amount, which the "Employer" has paid or caused to be paid in accordance with this Clause in excess of the amounts actually payable in accordance with the provisions of this Contract, shall be reimbursed by the Consultant to the "Employer" within thirty (30) days after receipt by the Consultant of notice thereof. Any such claim by the "Employer" for reimbursement must be made within twelve (12) calendar months after receipt by the "Employer" of a final report and a final statement approved by the "Employer" in accordance with the above.</p>	Not tenable
10	RFP	New Clause		<p>(a) Except in the case of gross negligence or willful misconduct on the part of the Consultant or on the part of any person or a firm acting on behalf of the Consultant in carrying out the Services, the</p>	

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				<p>Consultant shall not be liable to the Employer:</p> <p>(i) for any indirect or consequential loss or damage; and</p> <p>(ii) for any direct loss or damage that exceeds the total value of the Contract;</p> <p>(b) This limitation of liability shall not be construed as providing the Consultant with any limitation or exclusion from liability which is prohibited by the "Applicable Law";</p>	
11	RFP	New Clause		<p>Except as otherwise permitted by the Contract, neither of Authority or Consultant may disclose to third parties the contents of the Contract or any information provided by or on behalf of the other that ought reasonably to be treated as confidential and/or proprietary including the Deliverables under the Contract. Either of the parties may, however, disclose such information to the extent that it:</p> <p>(a) is or becomes public other than through a breach of the Contract,</p> <p>(b) is subsequently received by the recipient from a third party who, to the recipient's knowledge, owes no obligation of confidentiality to the disclosing party with respect to that</p>	Not tenable

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				<p>information,</p> <p>(c) was known to the recipient at the time of disclosure or is thereafter created independently,</p> <p>(d) is disclosed as necessary to enforce the recipient's rights under the Contract, or</p> <p>(e) Must be disclosed under applicable law, legal process or professional regulations.</p>	
12	RFP	New Clause		<p>Employer shall provide (or cause others to provide) to the Consultant, promptly, the information, resources and assistance (including access to records, systems, premises and people) that the Consultant reasonably require to perform the services under the Contract, failing which the</p> <p>Consultant shall not be responsible for any delay, loss or damage to Employer.</p>	
13	RFP	New Clause		<p>Any information, advice, recommendations or other content of any reports, presentations or other communications we provide under this Agreement ("Reports"), other than Employer Information, are for Employer's internal use only (consistent with the purpose of the particular</p>	Not tenable

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				Services) including Employer's board of directors, its audit committee, or its statutory auditors. Employer may not rely on any draft Report and the Consultant shall not be required to update its Final Report.	
14	RFP	New Clause		Either Party may terminate this Agreement by serving 15 days prior written notice to the other party. Upon termination the Consultant shall be entitled to receive payments of the Services performed, work in progress and expenses incurred by it, till the date of such termination.	Not tenable
15	RFP	70/-		With reference to today's telephonic conversation on the Section IV Appendices (Appendix We would also like to know the Terms of Payment for this work.	Terms of payment are mentioned in clause 6.3 in Appendix E,
16	RFP	6/6.1		The Consultant would request for a clarification on the maximum number of clusters that can be bid for by one consultant. This may please clarified	As per RFP One bidder can bid for any one cluster only.
17	RFP	11/16	The short-listed Consultants are invited to submit their Proposal	What is meant by the term 'short listed consultants'?	Refer to clause 16.
18	RFP	10/14	Evaluation Criteria Of Proposals.	What is the basis for awarding marks in technical evaluation?	As per Amendment No.1
19	RFP	10/14	Evaluation Criteria Of Proposals.	What is the qualifying criteria for the team members?	As per Amendment No.1

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				What is the basis of weight age of each position?	As per Amendment No. 1
20	RFP	12/20	The duration of assignment for satisfactory performance of the services will be for a period of Three month and all activities are to be completed in this period	Time period should be extended to 6 months	As per RFP
21	RFP	39/section 5	Site for regional landfill have been tentatively identified, which is still to be acquired. A schematic cluster map is attached. The location of the identified landfill site and coordinates are provided below.	For how many cities, landfill sites have been identified and finalised? The map is not attached with the RFP document.	Landfill sites have been identified & will be finalised in due course of time. Will be provided at later stage.
22	RFP	39/Section 5	A cluster map is attached. The location of the identified landfill site and coordinates are provided	The map is not attached in the tender. Request you to please provide the same along with other coordinates and details.	Will be provided at later stage.
23	RFP	47/Task 6	Environmental and Social Impacts and Mitigation Measures. Separate consultants will be selected to conduct social and environmental impact assessment of: all stages of SWM improvements proposed; land identified for processing plants and sanitary landfills; social impacts on residents and informal waste peckers, Develop mitigation plans including cost estimates. The consultant shall work closely with the safeguards consultant and include a description of the approach and the cost of mitigation	Need more clarification o mitigation and environment and social impacts. Who will hire the Environmental and social safeguard consultants?	The employer will hire separately.

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			measures in the feasibility study/detailed project reports.		
24	RFP	49/Task 8	Tasks	Need clarification on what stage, the Tasks 8 to 13 be performed	Task 8 will be performed after getting the DPR Report 1 and some task after getting DPR Report 3.
25	<u>RFP</u>	deliver the DPR in three reports, linked to output delivery, to facilitate procurement 52-53/ Task 12	<u>Deliverables and Timing of Reports-</u> In conformity with the strategy described above, the Consultant is required to prepare and deliver the DPR in three reports, linked to output delivery, to facilitate procurement DPR Report Three- If land has not been allocated, the task (a) listed above related to Conceptual design, costs and technical specifications and cost estimates for landfill operation will be deferred until land becomes available.	Kindly mention a period within which the land will be finalised for regional land. The consultant may not be able to deliver regional landfill details if land is not finalised within a particular period of time.	Same as per time line for DPR Report3.
26	RFP	10/14	Evaluation Criteria Of Proposals: The evaluation of technical proposal will be based on obtainable points considering understanding of the assignment, expertise of the agency, experience, proposed approach and	1. Please clarify the evaluation methodology for the proposed team 2. Request you to consider Quality Cost Based Selection (QCBS)@ 70:30 With 70% weightage to Technical and 30% weightage to Financial	As per RFP

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			methodology, experience and qualification of the proposed team and proposed work plan.		
27	RFP	10/4	Data Sheet Sl. no. 3 (Instruction to Bidders) sub section 14 (Evaluation criteria of the proposal) (Page 10): Evaluation Criteria for the Proposal. The Financial bid will be ranked in terms of their evaluated cost. The least cost bid for a cluster will be ranked as L-q and the next higher and son on will be ranked as L-2, L-3 etc.	To ensure most competent firms get selected we request the client to change the least cost bidding to Quality and Cost Based Selection QCBS with 80:20 ratio (Technical score 80 percent and financial score 20%)	As per RFP
28	RFP	13/Data Sheet	Date & time and address for submission of proposal/bid: 07.07.2016 15.00 Hours	Request you to extend the proposal submission date by at least 2 weeks	Date extended till 10.8.16.
29	RFP	12/20	DURATION OF ASSIGNMENT The duration of assignment for satisfactory performance of the services will be for a period of Three months and all activities are to be completed in this period.	Kindly extend the duration of assignment to at least 5 months to gather required data from all ULB's.	As per RFP
30	RFP	12/20	Duration of Assignment : The duration of assignment for satisfactory performance of the services will be for a period of Three months.	3 months is very less considering the scope of work. Kindly extend the assignment duration to 6 months.	As per RFP
31	RFP	46-47/Task 5, Design & Task 6	Task 5 (iv) Indicate availability of suitable land that meets the	It is assumed that the consultant has to suggest the suitable site among the pre	Self-evident, Does not need clarification.




			parameters specified by the Pollution Control Board. Task 6 (iii) determine area of land required for landfills, considering government-owned lands identified by GoUP, that meet the environmental requirements of the Pollution Control Board;	Identified land. In case not identified the new/fresh land is the time taking job, delay in land identification will further delay deign, transportation Plan & costing.	
32	RFP	42/Task 2, Role of the Informal Sector	Role of the Informal Sector: Survey and document the role of the informal waste pickers, amount of recyclables extracted by them , and possible mechanisms to expand their role for door-to-door collection.	Collecting data of informal waste pickers is the tedious & time taking job. Consultant would like to request to remove/delete from scope of work	As per RFP
33	RFP	43/Task 2, Processing of waste.	Processing of Waste: Describe any waste processing done now, including the availability of land for processing of waste , processing technology, capacity of the plant, waste processed each day, percentage of product and rejects derived from the process, marketability of the product Derived.	We understand the Identification of availability of land is confine to identify any open land may or may not be in possession of ULB's. Please clarify.	If Govt. land is not available then the suitable land can be proposed for purchase based upon concurrence of ULB.
34	RFP	46/Task 5, Demand study	For the various waste treatment technology options, be they, composting, waste to energy, refused derived fuels (RDF), anaerobic and aerobic	Considering the time duration given to complete the assignment, Market study is The time taking job. Market or end product	As per RFP

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			digestion, etc., conduct a comprehensive market study, based on demand for each product, quality and reliability of demand, availability and reliability of supply, availability of secondary/ancillary infrastructure to facility supply (e.g. proximity of local grid, Transmission lines, etc.) proximity of demand, pricing structure and tariffs, incentive schemes, regulatory Framework, inter alia.	demand study is generally consider as independent Study//project. We request kindly consider this as in depended project or increase the duration of the Project.	
35	RFP	40/Complementary Consultancies Planned.	Complementary Consultancies Planned. The Consultant is requested to work closely with the Safeguards Consultant to be selected by C&DS, to prepare the Social Assessments and the Environmental and Social Safeguards Framework.	This is generally observe that coordination among agencies is not feasible. Consultant would like to request this job should be a part of this project, and done by one agency.	As per RFP
36	RFP	11/16	Experience during last 3 years ending 31 st March, 2016 will be counted	All the client in India like NHAI, MCD, MCG and various state governments allow experience in last 10 years	As per amendment I
37	RFP	11/16	The agency should have experience of having prepared at least 3 or more DPRs on solid waste management during last three years ending 31 st March, 2016 covering a minimum population of 6 lakhs.	1. Request you to consider experience of having prepared at least 3 or more DPR's on SWM projects during last 7 years as most of the projects for ULB's having population more than 6 lakhs were completed during 2007-2012. 2. A DPR for SWM is prepared for 20-	As per amendment I




38	RFP	11/16	<p>Instruction to Bidders - sub-section 16 (Eligibility of Bidder) Page 11) : The agency should have experience of having prepared at least 3 or more DPRs on solid waste management during last three years ending 31st March, 2016 covering a minimum population of 6 lakhs.</p>	<p>25 years period. We understand that the minimum population of 6 lakhs is the projected population for which DPR is prepared. Kindly confirm.</p>	As per RFP
39	RFP	11/16	<p>The agency should have minimum 4 teams of technical personnel who could undertake surveys, investigations and work of preparation of DPRs simultaneously for sub-clusters within the cluster of cities/towns covered in this RFP.</p>	<p>Need clarification on composition of the 4 teams, repetition of members in teams, same team lead for different teams possible?</p>	<p>There is need of four teams for the purposes of dividing the work among them, So that work is done efficiently and timely.</p>
40	RFP	11/16	<p>The agency should have minimum 4 teams of technical personnel who could undertake surveys, investigations and work of preparation of DPRs simultaneously for sub-clusters within the cluster of cities/ towns covered in this RFP.</p>	<p>Request you to elaborate on the requirement of such teams.</p>	
41	RFP	11/16	<p>Eligibility of Bidder :</p>	<p>Our firm is empanelled with Regional</p>	<p>Not tenable</p>

Qo 2/16

			The Firm should be registered under the Companied Registration Ac, 1956 and be one among 47 firms empanelled by MoUD for preparing DPRs on Solid Waste Management.	Centre for Urban and Environment Studies- Lucknow and with MoUD under Class II Transaction Advisory. In view of the above, request you to allow us to participate.	
42	RFP	11/16	Instruction to Bidders - sub-section 16 (Eligibility of Bidder) (Page11) The agency should have minimum 4 teams of technical personnel who would undertake surveys. Investigation and work of preparation of DPRs simultaneously for sub-clusters within the cluster of cities/ towns covered in this RFP.	We would need clarification of the qualification and experience criteria for the team of technical personnel undertaking the field surveys.	Staffing is as per firms requirement. But be sure that project is to be completed in time & your staff must be capable of completing in time.
43	RFP	4/ Data Sheet Sl. no. 3	Data Sheet Sl. no. 3 (Instruction to Bidders) sub section 2 (Eligibility of association of consultants and Sub-consultants) (Page 4) : The shortlisted consultant may, for enhancing their expertise/ capability to execute the assignment, form a consortium of firms/entities limited to three	It is not clear whether, the consolidated experience of the consortium will be applicable for evaluation the Eligibility of Bidder criteria or whether, experience of the lead member only will be applicable for evaluating the Eligibility of Bidder criteria	Self-evident & does not need clarification.
44	RFP	4/2	Eligibility of Association of consultants and Sub-Consultants	Will the experience of the sub-consultants be considered?	As per RFP
45	RFP	14/16	Data Sheet Sl. no. 3 (Instruction to Bidders) sub section 16 (Eligibility of Bidder) (Page 11) :	To ensure most competent firms get selected we request the client to change it to	As per RFP



			<p>The agency should have minimum average annual turnover of Rs. 2 Crores during the last three years ending on 31st March 2015. A certificate from a chartered Accountant will have to be submitted with the proposal.</p>	<ul style="list-style-type: none"> • Minimum Average Annual Turnover in Rs. 50 crores for the FY 2012-13, 2013-14 & 2014-15. • Minimum Average Annual Turnover for consultancy fee in Rs. 25 (Twenty Five) crores for the FY 2012-13, 2013-14 & 2014-15. 	
46	RFP	11/16		<p>The eligibility criteria for participating in tendering process is mentioned as Rs 2 crore turnover in last 3 years. We would like to state that, as per the Swachh Bharat mission norms, the maximum cost of preparation of DPR is Rs 12/ capita as of 2011 census. In the tenders called, Population of Ghaziabad, Bijnor, Hardoi and Unnao is 15 Lakh, 10 lakh, 5 Lakh and 3.8 Lakh respectively. Considering the SBM norms, total value of contract should be around Rs 1.8 Crore, 1.2 crore, 0.6 crore and 0.47 crore in Ghaziabad, Bijnor, Hardoi and Unnao clusters respectively.</p> <p>We appreciate your criteria on EMD that the EMD for Hardoi and Bijnor is Rs 1 Lakh unlike Rs 2 Lakh for Ghaziabad and Unnao Clusters but turnover of the company willing to participate in the process is 2 crores across all 4 clusters. Typically the turnover criteria for</p>	Not tenable

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					tendering process should be equal to the estimated value of the work. The expected turnover of the company willing to participate should be Rs 1.8 Crore, 1.2 crore, 0.6 crore and 0.47 Crore to Ghaziabad, Bijnor, Hardoi and Unnao respectively						
47	RFP	8/11	<p>Instruction to Bidders - Sub-section 11 (Earnest Money Deposit) (Page 8)</p> <p>An EMD of Rs. 3 Lakh, in the form of DD Drawn needs to be submitted.</p>	<p>We understand that there are four separate consultancy opportunities for the four clusters of Hardoi, Unnao, Bijnore and Ghaziabad.</p> <p>Since a consultant will only get one of the four clusters, it is logical to ask for a consolidated EMD of clusters, it is logical to ask for a consolidated EMD of Rs. 3 lakhs for all the four clusters instead for of asking EMD for each of the four clusters which will amount to a total of Rs. 12 lakhs.</p> <p>A similar approach can be adopted for bid processing fee of Rs. 5000 for each cluster.</p>	As per RFP						
48	RFP	10/16	<p>Data Sheet Sl. no. 3 (Instruction to Bidders) sub section 16 (Evaluation Criteria of the Proposal) (Page 11) :</p> <table border="1"> <tr> <td>Key Personnel</td> <td>Mar</td> </tr> <tr> <td>Team Leader cum SWM specialist</td> <td>40</td> </tr> <tr> <td>Civil Engineer and</td> <td>25</td> </tr> </table>	Key Personnel	Mar	Team Leader cum SWM specialist	40	Civil Engineer and	25	<p>Firstly, experience criteria, educational qualifications for the team members are not detailed in the RFP. Secondly, given the huge scope of work envisaged for the investment plan for C&T, processing plant, SLF and financial and economic, analysis, additional position of a Financial expert should be envisaged. Given our experience in similar projects</p>	As per Amendment-1
Key Personnel	Mar										
Team Leader cum SWM specialist	40										
Civil Engineer and	25										

2/15

			<table border="1"> <tr><td>landfill design expert</td><td></td></tr> <tr><td>Process Engineer</td><td>25</td></tr> <tr><td>Environmental Expert</td><td>20</td></tr> <tr><td>Municipal Finance and Institutional Expert</td><td>20</td></tr> <tr><td>Social and Public Participation Expert</td><td>10</td></tr> <tr><td>Capacity Building and Training Expert</td><td>10</td></tr> <tr><td>Total</td><td>150</td></tr> </table>	landfill design expert		Process Engineer	25	Environmental Expert	20	Municipal Finance and Institutional Expert	20	Social and Public Participation Expert	10	Capacity Building and Training Expert	10	Total	150	<p>in other states we would like to suggest the following:</p> <table border="1"> <thead> <tr> <th>Key personnel</th> <th>Years of exp.</th> <th>Marks</th> </tr> </thead> <tbody> <tr> <td>Team Leader cum overall SWM specialist</td> <td>10 years</td> <td>50</td> </tr> <tr> <td>SWM expert (technical)</td> <td>10 years</td> <td>25</td> </tr> <tr> <td>Municipal Finance and Institutional expert</td> <td>10 years</td> <td>25</td> </tr> <tr> <td>Financial expert</td> <td>5 years</td> <td>25</td> </tr> <tr> <td>Social and IEC expert</td> <td>10 years</td> <td>10</td> </tr> <tr> <td>Environmental expert</td> <td>10 years</td> <td>15</td> </tr> <tr> <td>Total</td> <td></td> <td>150</td> </tr> </tbody> </table>	Key personnel	Years of exp.	Marks	Team Leader cum overall SWM specialist	10 years	50	SWM expert (technical)	10 years	25	Municipal Finance and Institutional expert	10 years	25	Financial expert	5 years	25	Social and IEC expert	10 years	10	Environmental expert	10 years	15	Total		150	
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49	RFP	10/15	<p>Detailed Marking scheme for Technical Evaluation : Criteria- Experience of preparation of DPRs on solid waste management in past 10 years- Minimum Marks - 200</p>	<p>Please clarify whether the marking will be done on the basis of years of experience or number of projects undertaken during the last 10 years.</p>	<p>Base of marking will include both experience as well as no. of projects completed.</p>																																						
50	RFP	10/15	<p>Detailed Marking Scheme For Technical Evaluation</p>	<p>Request clarification if feasibility reports may also be considered along with DPRs to showcase a firm's experience (for 200 marks)</p>	<p>As per Amendment No. 1</p>																																						
		10/15	<p>Qualification and Experience of Team Leader & Other Key Professionals</p>	<p>Request you to provide educational qualification and experience requirement for each of the proposed positions.</p>	<p>As per Amendment No. 1</p>																																						

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51	RFP	42/ TOR, section	<p>RFP Terms of Reference Section 5 (Page 52) : Deliverables and Payment Schedule</p> <p>To the extent possible, primary survey data shall be used, with some extrapolation across ULBs in the cluster.</p>	<p>The scope of work is not clear how many primary sample survey needs to be undertaken by the consultants. We recommend the client to clearly specify the same.</p> <p>The Consultant may be asked to assess the waste generation per capita per day (GPCPD) on the basis of CPHEEO manual for Solid Waste Management and waste characterization / sample surveys only for the towns having population more than 01 (one) lakhs by taking necessary samples.</p>	As per Solid Waste Management manual.
52	RFP	42/Task 2, Waste Generation.	<p>Waste Generation: Document number and types of waste generators in the city; annual, monthly and daily waste generation rates of past three years; per capita waste generation; waste generation from various sources such as households, commercial establishments, vegetable, fruit, meat, fish markets, offices, hotels and restaurants, from sweeping of streets and drain cleaning, etc.; and waste characterization - physical and chemical if available. To the extent possible, primary survey data shall be used, with some</p>	<p>Does it mean waste characterization is done based on available data, and no further sampling need to be done?</p> <p>In case no sample testing is required please clarify the no. of sample to be collected, whether these sample is collected at each torne or district.</p>	Waste quantification and characterisation shall be done as per SWM Manual.

 

			extrapolation across ULBs in the cluster.							
53	RFP	42/Task 2	<p>Task 2 : Diagnostic of Existing conditions in ULBs in the Cluster - Waste Generation - Document number and types of waste generators in the city; annual, monthly and daily waste generation rates of past three years; per capita waste generation; waste generation from various sources' such as households, commercial establishments, vegetable, fruit, meat, fish markets, offices, hotels and restaurants, from sweeping of streets and drain cleaning, etc.; and waste characterization - physical and chemical if available.</p>	<p>Please clarify whether waste characterization report are available and if so kindly provide them. If the same is not available, please clarify whether the physical and chemical characterisation study would be part of scope of work under the assignment.</p>						
54	RFP		<p>RFP Terms of Reference Section 5 (Page 52) : Deliverables and Payment Schedule</p> <p>The client has not mentioned the payment schedule.</p>	<p>Since maximum work would be done in the initial stages of the engagement. we request the client to align consultant fee in line with the work load. We propose following payment milestones as per the workload for the engagement.</p> <table border="1"> <thead> <tr> <th>Milestone</th> <th>Paym ent</th> <th>Delivery Dates</th> </tr> </thead> <tbody> <tr> <td>DPR Report 1</td> <td>40%</td> <td>45 days</td> </tr> </tbody> </table>	Milestone	Paym ent	Delivery Dates	DPR Report 1	40%	45 days
Milestone	Paym ent	Delivery Dates								
DPR Report 1	40%	45 days								
				As per RFP						

Handwritten initials/signature

55	RFP	9/12.3	Procedure for submission of Bid Document: The Authorization shall be in the form of a written Power of Attorney accompanying the proposal or in any other form demonstrating that the representative has been fully authorized to sign.	Please clarify whether Authorization letter from the company is acceptable or not,	30%	Within 3 months of the commencement of assignment		As per RFP	
56	RFP	31/Form 1	Financial Proposal	The format for Financial Proposal is not given in the tender, Request you to please provide the same.	30%	Within 3 months of the commencement of assignment		As per Amendment 1	
57	RFP	51/Task 11	Task 11. Consultation Process (b) Organization of a workshop with all stakeholders.	Please clarify whether the expenses of the workshop are borne by consultant or the employer.				Task is in the scope of bidder.	
58	RFP	77/Activity	Payment will be made based on milestones as provided in the RFP.	Request you to revise the schedule as mentioned in Annexure I.				As per RFP	

 

S. No.	Milestones (Deliverables)	Time period for submission	Payment (as % of total consultancy cost)
1.	On submission of Inception Report	15 days	15%
2.	On submission of DPR Report 1 with Investment Plan and Technical Specification for Primary Collection, Secondary Storage and Transport (to Processing Plant)	90 days	20%
3.	On submission of DPR	6 months	20%

